

FUND OBJECTIVE

The Global X Lithium & Battery Tech ETF seeks to provide investment results that correspond generally to the price and yield performance, before fees and expenses, of the Solactive Global Lithium Index.

For informational purposes only. This document should be used to highlight some of the criteria to be used by index provider when publishing index constituents and is not comprehensive.

ETF Category:
Growth - Disruptive Technology

As of 10/18/23

Index Provider: SOLACTIVE



KEY FEATURES



High Growth
Potential



Advancing Clean
Technologies



Unconstrained
Approach

SOLACTIVE GLOBAL LITHIUM INDEX

SELECTION

- The index is designed to track the performance of companies that are active in lithium mining, exploration or a closely related activity, and/or production of lithium batteries.
- Companies must have significant revenues generated or expected to be generated from:
 - Business operations in lithium mining (“Mining Company”) or
 - Production of lithium batteries (“Battery Company”)
- Companies in the Selection Pool are ranked according to their Free Float Market Capitalization and chosen in descending order.
- The minimum number of constituents is 20 and the maximum number of constituents is 40.
- The number of constituents is capped to 30% for listings on Shanghai Stock Connect (SSE) and Shenzhen Stock Connect (SZSE).

SELECTION POOL

- Eligible companies must have:
 - Free Float Market Capitalization of \$50 million if they are not current constituents and \$25 million if they are current constituents.
 - Average Daily Traded Value of at least \$200,000 over the last three months if they are not current index constituents and \$100,000 if they are current constituents.
 - Primary listing in developed or emerging markets, excluding India. China A-shares are eligible provided they available through the Stock Connect program.

WEIGHTING SCHEME

- Index components are weighted according to their Free Float Market Capitalization:
 - Individual weights of Mining Companies are capped at 20%.
 - Individual weights of Battery Companies are capped at 4%.
 - The collective Percentage Weight of all Index Components with a Percentage Weight exceeding 4% is capped at 44.5%.
 - The excess weight is allocated proportionally to all Index Components whose Percentage Weight is not capped.



REBALANCES/REVIEWS

- The index follows an annual reconstitution schedule. Reconstitution is made on the close of the last business day of October.
- The index follows an annual review schedule. Review is made on the close of the last business day of April.
- The index conducts a quarterly diversification review on the last trading day of January, April, July and October.

For more information on the Index, please visit Solactive's website.

*For the complete and current index methodology please refer to the index provider's website. This summary document is accurate as of the time of its publication and Global X does not guarantee that it is current at any point thereafter.

The selection of the index constituents and their weighting is made by the index provider at its sole discretion.

Carefully consider the fund's investment objectives, risks, and charges and expenses before investing. This and other information can be found in the fund's full or summary prospectus, which may be obtained by visiting globalxetfs.com. Please read the prospectus carefully before investing.

Investing involves risk, including the possible loss of principal. International investing may involve risk of capital loss from unfavorable fluctuations in currency values, from differences in generally accepted accounting principles, or from economic or political instability in other nations. Emerging markets involve heightened risks related to the same factors, as well as increased volatility and lower trading volume. Narrowly focused investments may be subject to higher volatility. There are additional risks associated with investing in lithium and the lithium mining industry. LIT is non-diversified.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemed from the Fund. Brokerage commissions will reduce returns. Indices are unmanaged and do not include the effect of fees, expenses or sales charges. One cannot invest directly in an index.

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